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Attorney's Docket No.: 2002US303 Filing Date:January 9, 2002 Serial No. 10/042,531

Receipt is hereby acknowledged of:

1) Transmittal of Information Disclosure Statement w/Certificate of Mailing by 1st Class Mail

2) Information Disclosure Statement w/references

Sent by :1st Class Mail on March 21, 2002

BOX IDS Assistant Commissioner for Patents U.S. Patent and Trademark Office Washington, DC 20231 APR 0 3 2002 TC 1700

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) of 1.97(c))			Docket No. 2002US303		
In Re Application Of: Takanori Kudo et al APR 0 1 2002					
Serial No.	Serial No. Filing Date Examiner Group Art U				
10/042,531 January 9, 2002		NOT ASSIGNED	1752		
Title: NEGATIVE DEEP ULTRAVIOLET PHOTORESIST					
	Assistant Com	ddress to: nmissioner for Patents tton, D.C. 20231			
٠	37 C	FR 1.97(b)			
1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.					
	37 C	FR 1.97(c)			
2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:					
☑ the statement specified in 37 CFR 1.97(e);					
	OR				
☐ the fee se	et forth in 37 CFR 1.17(p).				
		APF	CEIVED 0 3 2002 1700		
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 0.97(c))			Docket No. 2002US303		
In Re Application: Takan ri Kudo et al					
Serial No.	Filing Date	Examiner	Group Art Unit		
10/042,531	January 9, 2002	NOT ASSIGNED	1752		
NEGATIVE DEEP ULTRAVIOLET PHOTORESIST					
-	Payr	ment of Fee			
	(Only complete if Applicant ele	ects to pay the fee set forth in 37 CFR 1.	17(p))		
□ A check in the amount of is attached. □ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. as described below. A duplicate copy of this sheet is enclosed. □ Charge the amount of □ Credit any overpayment. □ Charge any additional fee required. Certificate of Transmission by Facsimile* Certificate of Mailing by First Class Mail Certify that this document and authorization to charge deposited account is being facsimile transmitted to the United States Patent and Trademark Office (F Signature Signature of Person Mailing Correspondence Vivian Austin Vivian Austin			First Class Mail fee is being deposited he U.S. Postal Service C.F.R. 1.8 and is missioner for Patents,		
Typed or Printed Name of Person Signing Certificate Typed or Printed Name of Person Mailing Certificate			on Mailing Certificate		
*This certificate may only be used if paying by deposit account. Dated: March 21, 2002 Signature Sangya Jain, Reg. No. 38,504 Clariant Corporation 70 Meister Avenue Somerville, NJ 08876 telephone (908) 429-3536 TC 17UU					
cc.					

Attorney's Docket No. 2002US303

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 1752

Examiner: NOT ASSIGNED

In re the application of: Takanori Katol et al

Serial No.:

10/042,531

Filed:

01/09/2002

For: NEGATIVE DEEP ULTRAVIOLET PHOTORESIST

Assistant Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF THE FIRST OFFICE ACTION

Sir/Madam:

1. IDENTIFICATION OF TIME OF FILING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 CFR 1.97(e).

2. PRELIMINARY STATEMENTS

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

- COMPLETED FORM PTO-1449 IS ATTACHED.
- 4. IDENTIFICATION OF PRIOR APPLICATION IN WHICH LISTED INFORMATION WAS ALREADY CITED AND FOR WHICH NO COPIES ARE SUBMITTED OR NEED BE SUBMITTED

[]	This application relie	s, under 35 U.S.C.	120, on the	earlier filing d	ate of
prior a	application Serial No.	filed	on	The f	following

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references were submitted to and/or cited by the Office in this prior application, and therefore, are not required to be provided in this application.

5.		ES OF LISTED INFORMATION ITEMS ACCOMPANYING THIS *EMENT
		le copies of all items listed accompany this information statement. Exception(s) to above:
		Items in prior application from which an earlier filing date is claimed for this application as identified in No. 4 above.
6.	TRAN	NSLATION(S) OF NON-ENGLISH LANGUAGE DOCUMENTS Submitted herewith is an English translation of the following foreign language patents, publications or information or of those portions of those patents, publications or information considered to be material:
	[]	No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except those listed above.
	[]	The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith.

7. IDENTIFICATION OF PERSON(S) MAKING THIS INFORMATION DISCLOSURE STATEMENT

The person making this statement is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted:

Sangya Jain Reg. 38,504

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